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- 487	NSMITTAL	•	Application Number Confirmation Numbe	&	10/743,941	
	FORM		Filing Date		12/23/2003	
(to be used for all correspondence after initial filing)		First Named Inventor	-	Lou		
			Group Art Unit			
			Examiner Name			
Total Numbe	er of Pages in This Submission		Attorney Docket Numb	rney Docket Number MP0354		
<del> </del>	ENCLO	SURES	(check all that apply)			
Fee Transmitt	al Form	Assignr	ment Papers Application)		After Allowance Communication to Group	
Fee Att	ached	Drawing	,		Appeal Communication to Board of Appeals and Interferences	
Amendment /	Reply	Licensii	ng-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		Petition			Proprietary Information	
Affidavits/declaration(s)		Petition to Convert to a Provisional Application			Status Letter	
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence		X	Other Enclosure(s) (please identify below):	
Express Abandonment Request		Address Terminal Disclaimer		Cop	opy of Cited Document, Postcard	
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Certified Copy Document(s)	of Priority	CD, Nu	mber of CD(s)			
Response to Management Incomplete Ap	Alissing Parts/ plication use to Missing Parts 7 CFR 1.52 or 1.53	rks				
	SIGNATURE OF	APPLI	CANT, ATTORNEY, OR	AGE	NT	
Firm or Individual name	Richard A. Dunning, Jr.					
Signature	Male	2		<del></del>		
Date	1-15-	EDS				
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I hereby certify that this	correspondence is being depos ressed to: Commissioner for Pa	sited with	the United States Postal S	ervice s date:	with sufficient postage as first class	
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

4

Attorney Docket No.: MP0354



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

 Serial No. : 10/743,941
 Art Unit : Examiner : Conf. No. :

Title : ADAPTIVE CHANNEL BANDWIDTH SELECTION FOR MIMO WIRELESS

**SYSTEMS** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 and 1.97-98

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is a modified form PTO-1449 listing documents for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of documents, or that inclusion of a document in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- after three months from the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1-18-05

Signature

Diane M. Dunning

Typed or Printed Name of Person Signing Certificate

Attorney's Docket No.: MP0354

Applicant : Lou Serial No. : 10/743,941 Filed : 12/23/2003 Page: 2

		attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission
		of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
		Applicant certifies pursuant to 37 CFR § 1.97(e) that:
		each item of information contained in this Information Disclosure
		Statement was first cited in a communication from a foreign patent
		office in a counterpart foreign application not more than three
		months prior to the filing of this Statement; OR
		no item of information contained in this Information Disclosure
		Statement was cited in a communication from a foreign patent
		office in a counterpart foreign application and, to the knowledge of
		the person signing this certification after making reasonable
		inquiry, no item of information contained in this Statement was
		known to any individual designated under 37 CFR § 1.56(c) more
		than three months prior to the filing of this Statement;
	before	the payment of the issue fee but after the mailing date of the first to occur
		er: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise
		prosecution in the application, and:
		Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
		each item of information contained in this Information Disclosure
		Statement was cited in a communication from a foreign patent
		office in a counterpart foreign application not more than three
		months prior to the filing of this Statement; or
		no item of information contained in this Information Disclosure
		Statement was cited in a communication from a foreign patent
		office in a counterpart foreign application and, to the knowledge of
		the person signing this certification after making reasonable
		inquiry, no item of information contained in this Statement was
		known to any individual designated under 37 CFR § 1.56(c) more
		than three months prior to the filing of this Statement; AND
		attached hereto is the fee set forth under 37 CFR § 1.17 (p) for submission
		of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
	after 1	the payment of the issue fee. Applicant requests that the information
		ned in this Information Disclosure Statement be placed in the file according
		CFR § 1.97(i), although the information may not be considered by the
	USPT	
This a		ion relies, under 35 U.S.C. § 120, on the earlier filing date of prior
hereby	referer	o/, filed on, and the documents cited therein are aced, but are not required to be provided in this application under 37 CFR §
1.98(d)		
` .	•	information contained in this Information Disclosure Statement was cited in
a com	munica	tion from a foreign patent office in a counterpart application, and the
		on was not received by any individual designated in 37 CFR § 1.56(c) more
		ys prior to the filing of this Information Disclosure Statement. 37 CFR §
1.704(		

Applicant: Lou Attorney's Docket No.: MP0354

Serial No.: 10/743,941 Filed: 12/23/2003 Page: 3

Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed documents and favorable action are respectfully requested.

Date: 1-15 - 2

Law Office of Richard A. Dunning, Jr.

343 Soquel Avenue, Suite 311

Santa Cruz, CA 95062

Telephone: 831.420.0561 Facsimile: 831.420.0566 Respectfully submitted,

Richard A. Dunning, Jr.

Attorney/Agent for Applicant(s)

Reg. No. 42502

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in been & Mr.	Appl. No. & Conf. No.	10/743,941		
NFORMATION DESCLOSURE	Filing Date	12/23/2003		
STATEMENT BY AFFERANT	First Named Inventor	Lou et al.		
	Group Art Unit			
(use as many sheets as necessary)	Examiner Name			

Attorney Docket Number

MP0354

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of

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	А	"802.16 IEEE Standard for Local and Metropolitan Area Networks; Part 16: Air Interface for Fixed Broadband Wireless Access Systems," 802.16 IEEE Standard for Local and Metropolitan Area Networks, October 1, 2004, pp. i-xxxiv and pp. 1-857, IEEE Std 802.16-2004, IEEE, United States		
Evenines	1	Date		

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.